

1c960 U.S. PTO  
01/29/01

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. \_\_\_\_\_  
First Inventor **John L. CARTIER**  
Title \_\_\_\_\_  
Express Mail Label No. \_\_\_\_\_

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
  2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
  3. ☒ Specification [Total Pages (Preferred arrangement set forth below)
    - Descriptive title of the invention
    - Cross Reference to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table, or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets   - 5. Oath or Declaration [Total Pages   - a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (c))  
(for continuation/divisional with Box 17 completed)
  - b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations - 13. ☐ Preliminary Amendment
- 14. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- 15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-In-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Print Customer No. or Attach bar code label 1415)

or ☒ Correspondence address below

Name **John L. CARTIER**  
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City **BRADFORD** State **MA.** Zip Code **01835-4936**  
Country **USA** Telephone **(978) 373-4281** Fax **(978) 469-8131**

Name (Print/Type) **John L. CARTIER** Registration No. (Attorney/Agent) \_\_\_\_\_  
Signature **John L. Cartier** Date **1-28-01**

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1c945 U.S. PTO  
09/772172

01/29/01

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 355.00

## Complete If Known

Application Number

Filing Date

First Named Inventor

John L. CARTIER

Examiner Name

Group Art Unit

Attorney Docket No.

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

219800271

Deposit  
Account  
Name

JLC ENTERPRISES, INC.

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check

☐ Credit card

☐ Money  
Order

☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee  
Code (\$) Code (\$)

Fee Description

Fee Paid

101 710

201 355

Utility filing fee

355

106 320

206 160

Design filing fee

107 490

207 245

Plant filing fee

108 710

208 355

Reissue filing fee

114 160

214 75

Provisional filing fee

SUBTOTAL (1) (\$) 355

### 2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid  
Total Claims  - 20\*\* =  X  =   
Independent Claims  - 3\*\* =  X  =   
Multiple Dependent  =

Large Entity Small Entity

Fee Fee  
Code (\$) Code (\$)

Fee Description

103 18

203 9

Claims in excess of 20

102 80

202 40

Independent claims in excess of 3

104 270

204 135

Multiple dependent claim, if not paid

109 80

209 40

\*\* Reissue independent claims  
over original patent

110 18

210 9

\*\* Reissue claims in excess of 20  
and over original patent

SUBTOTAL (2) (\$)

\*for number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee  
Code (\$) Code (\$)

Fee Description

Fee Paid

105 130

205 65

Surcharge - late filing fee or oath

127 60

227 25

Surcharge - late provisional filing fee or  
cover sheet

139 130

139 130

Non-English specification

147 2,520

147 2,520

For filing a request for ex parte reexamination

112 920\*

112 920\*

Requesting publication of SIR prior to  
Examiner action

113 1,840\*

113 1,840\*

Requesting publication of SIR after  
Examiner action

115 110

215 55

Extension for reply within first month

116 390

216 195

Extension for reply within second month

117 890

217 445

Extension for reply within third month

118 1,390

218 695

Extension for reply within fourth month

128 1,890

228 945

Extension for reply within fifth month

119 310

219 155

Notice of Appeal

120 310

220 155

Filing a brief in support of an appeal

121\* 270

221 135

Request for oral hearing

138 1,610

138 1,610

Petition to institute a public use proceeding

140 110

240 55

Petition to revive - unavoidable

141 1,240

241 620

Petition to revive - unintentional

142 1,240

242 620

Utility issue fee (or reissue)

143 440

243 220

Design issue fee

144 600

244 300

Plant issue fee

122 130

122 130

Petitions to the Commissioner

123 60

123 60

Petitions related to provisional applications

126 240

126 240

Submission of Information Disclosure Stmt

581 40

581 40

Recording each patent assignment per  
property (times number of properties)

146 710

246 355

Filing a submission after final rejection  
(37 CFR § 1.129(a))

149 710

249 355

For each additional invention to be  
examined (37 CFR § 1.129(b))

179 710

279 355

Recuses for Continued Examination (RCE)

169 900

169 900

Request for expedited examination  
of a design application

Other fee (specify)

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)

John L. CARTIER

Registration No.  
(Attorney/Agent)

Complete (if applicable)

Telephone

(978) 373-4281

Signature

John L. Cartier

Date

1-29-01

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